

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <b>March 14, 2001</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APP. NO. (if known) <b>09/787119</b>
INTERNATIONAL APPLICATION NO.: <b>PCT/JP99/05001</b>	INTERNATIONAL FILING DATE: <b>SEPTEMBER 14, 1999</b>	PRIORITY DATE CLAIMED: <b>SEPTEMBER 14, 1998</b>
TITLE OF INVENTION: <b>TRANSFERABLE MAGNETIC TAPE, METHOD OF MANUFACTURING SAME, AND MAGNETIC CARD</b>		
APPLICANT(S) FOR DO/EO/US: <b>Daisuke YANO, Yoshikazu YAMAZAKI and Tessyu MIYAHARA</b>		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report and 2 references.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEE NAME AND ADDRESS: <b>DAINIPPON INK AND CHEMICALS, INC., Tokyo, Japan</b></p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: Submission of Substitute Pages; 4 sheets of drawings.</p>		

U.S. APPLICATION NO. (if known) <b>787119</b>	INTERNATIONAL APPLICATION NO. <b>PCT/JP99/05001</b>	DATE: <b>March 14, 2001</b>
--	--	-----------------------------

17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO: ..... \$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1000.00  International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860.00</b></div>	<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>
---	---------------------	---------------------

Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than __ 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		
--	--	--

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
<b>TOTAL</b>	29 -20 =	9	X \$ 18.00	<b>\$ 162.00</b>	
<b>INDEPENDENT</b>	3 - 3 =		X \$ 80.00		
Multiple dependent claims(s) (if applicable)			+ \$270.00	<b>\$ 270.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,292.00</b>	
Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).					
<b>SUBTOTAL =</b>				<b>\$ 1,292.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than __ 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,292.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property +</b>				<b>\$ 40.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1,332.00</b>	
				Amount to be:	
				refunded	\$ _____
				charged	\$ _____
					\$ _____

ATTORNEY'S DOCKET NO: 010324

U.S. APPLICATION NO.  
(if known)

09/787119

INTERNATIONAL APPLICATION NO.

PCT/JP99/05001

DATE: March 14, 2001

- a. ☒ A check in the amount of \$ 1,332.00 to cover the above fees is enclosed. (\$860.00 for filing fee; \$162.00 for 9 additional claims; \$270.00 for multiple dependent claims and \$40.00 for assignment recordation fee). (This paper is filed in triplicate)
- b. ☐ Please charge my Deposit Account No. 01-2340 in the amount of \$        to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2340.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:



23850

PATENT TRADEMARK OFFICE

SIGNATURE

Donald W. Hanson  
NAME27,133  
REGISTRATION NUMBER

DWH/yap

ARMSTRONG, WESTERMAN, HATTORI,  
McLELAND & NAUGHTON, LLP  
Suite 1000, 1725 K Street, N.W.  
Washington, D. C. 20006  
Tel: (202) 659-2930  
Fax: (202) 887-0357

**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **Daisuke YANO et al.**

Serial Number: **Not Yet Assigned**  
(§ 371 of International Application No.: PCT/JP99/05001)

Filed: **March 14, 2001**

For: **TRANSFERABLE MAGNETIC TAPE, METHOD OF MANUFACTURING SAME,  
AND MAGNETIC CARD**

SUBMISSION OF SUBSTITUTE PAGES FOR PCT ART. 19 AND 34 AMENDMENTS

Director of Patents and Trademarks  
Washington, D.C. 20231

March 14, 2001

Sir:

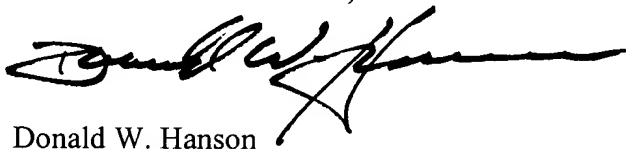
This application is the U.S. national stage under 35 USC § 371 of the above-identified international patent application. Please enter the attached substitute sheets for pages 49-52. The substitute sheets incorporate into the English language translation of the international application amendments presented in the international stage under PCT Article 19 and /or PCT Article 34.

In the event there are fees required in connection with this submission, please charge such fees to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI,  
McLELAND & NAUGHTON, LLP

Atty. Docket No. 010324  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
Tel: (202) 659-2930  
DWH/yap  
Enclosures: Substitute sheets 49-52.

  
Donald W. Hanson  
Reg. No. 27,133